

**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

| | |
|------------------------|--|
| Attorney Docket No. | 674543-2001.3 |
| First Inventor | Walker |
| Title | REGULATION OF INTRACELLULAR GLUCOCORTICOID CONCENTRATION |
| Express Mail Label No. | EV 001580902 US |

APPLICATION ELEMENTS**ADDRESS TO:**
Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original, and a duplicate for fee processing)
2. ☒ Applicant claims small entity status.
See 37 CFR 1.27.
3. ☒ Specification (Pages 1-27) [Total Pages 27]
(preferred arrangement set forth below)
- Descriptive title of the invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix.
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - 13 Claims (pages 26-27)
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 3]
5. ☒ Oath or Declaration [Total Pages 7]
- ☐ Newly executed (original or copy)
 - ☒ Copy from a prior application (37 CFR 1.63(d))
 - ☒ Accompanied by Associate Power of Attorney
(for continuation/divisional with Box 18 completed)
 - i. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s)
named in the prior application, see 37 CFR
1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76.

7. ☐ CD-ROM or CD-R in duplicate, large table
or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
- a. ☐ Computer Readable Form (CFR)
 - b. Specification sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☐ paper
 - c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & documents(s))
10. ☐ 37 CFR 3.73(b) Statement
☐ Power of Attorney
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☒ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☐ Request and Certification under 35 U.S.C. 122(b)(2)(B)(i).
Applicant must attach from PTO/SB/35 or its equivalent.
17. ☐ Other:

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

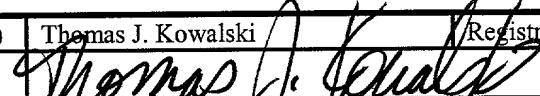
☐ Continuation ☒ Divisional ☐ Continuation-in-part (CIP) of prior application No.: 09/029,535
Prior application information: Examiner: B. Badio Group/Art Unit: 1616

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label 20999 (Insert Customer No. or Attach bar code label here) or ☐ Correspondence address below

Name Thomas J. Kowalski

| | | | |
|-------------------|---|-----------------------------------|-------------------|
| Name (Print/Type) | Thomas J. Kowalski | Registration No. (Attorney/Agent) | 32,147 |
| Signature |  | Date | February 22, 2002 |

Under the Paperwork Reduction Act of 1965, no persons are required to respond to a collection of information unless it displays a valid OMB control number

| | | | |
|---|--------------|--------------------------|---------------------|
| <h1 style="margin: 0;">FEE TRANSMITTAL</h1> <h2 style="margin: 0;">for FY 2001</h2> <p style="margin: 0;">Patent fees are subject to annual revision.</p> | | Complete if Known | |
| | | Application Number | To Be Assigned |
| | | Filing Date | Concurrent Herewith |
| | | First Named Inventor | Walker |
| | | Examiner Name | To Be Assigned |
| TOTAL AMOUNT OF PAYMENT | (\$) 370.00 | Group/Art Unit | To Be Assigned |
| | | Attorney Docket No. | 674543-2001.3 |

| METHOD OF PAYMENT | |
|---|---|
| 1. <input checked="" type="checkbox"/> | The Commissioner is hereby authorized to charge indicated fees and credit any over payments to: <div style="border: 1px solid black; padding: 5px; margin: 5px 0;"> Frommer Lawrence & Haug LLP </div> <div style="border: 1px solid black; padding: 5px; margin: 5px 0;"> 50-0320 </div> |
| <input checked="" type="checkbox"/> Charge any Additional Fee Required Under 37 CFR 1.16 and 1.17 | <input type="checkbox"/> Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing of the Notice of Allowance |

| | |
|--|---|
| 2. <input checked="" type="checkbox"/> | Payment Enclosed <input checked="" type="checkbox"/> Check <input type="checkbox"/> Money Order <input type="checkbox"/> Other |
|--|---|

| FEE CALCULATION | | | | | | |
|---------------------|-----------------|----------------|-----------------|------------------------|---------------|--|
| 1. BASIC FILING FEE | | | | | | |
| Large Fee Code | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee Description | Fee Paid | |
| 101 | 740 | 201 | 370 | Utility filing fee | 370.00 | |
| 106 | 330 | 206 | 165 | Design filing fee | | |
| 107 | 510 | 207 | 255 | Plant filing fee | | |
| 108 | 740 | 208 | 370 | Reissue filing fee | | |
| 114 | 160 | 214 | 80 | Provisional filing fee | | |
| SUBTOTAL (1) | | | | | 370.00 | |

| 2. EXTRA CLAIM FEES | | | | | | |
|---|-----------------|----------------|-----------------|--|----------------|---|
| Total Claims | 1 | -20** = | 0 | X | = | 0 |
| Ind. Claims | 1 | -3** = | 0 | X | = | 0 |
| Multiple Dependent | | | | X | = | 0 |
| ** or number previously paid, if greater; for reissues, see below | | | | | | |
| Large Fee Code | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee Description | Fee Paid | |
| 103 | 18 | 203 | 9 | Claims in excess of 20 | | |
| 102 | 84 | 202 | 42 | Independent claims in excess of 3 | | |
| 104 | 280 | 204 | 140 | Multiple dependent claim, if not paid | | |
| 109 | 84 | 209 | 42 | ** Reissue independent claims over original patent | | |
| 110 | 18 | 210 | 9 | ** Reissue claims in excess of 20 and over original patent | | |
| 107 | 510 | 207 | 255 | Plant filing fee | | |
| SUBTOTAL (2) | | | | | (\$) 0 | |

| FEE CALCULATION | | | | | | |
|---------------------|-----------------|----------------|-----------------|--|----------------|--|
| 3. ADDITIONAL FEES | | | | | | |
| Large Fee Code | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee Description | Fee Paid | |
| 105 | 130 | 205 | 65 | Surcharge - late filing fee or oath | | |
| 127 | 50 | 227 | 25 | Surcharge - late provisional filing fee or Cover sheet | | |
| 139 | 130 | 139 | 130 | Non-English specification | | |
| 147 | 2,520 | 147 | 2,520 | For filing a request of reexamination | | |
| 112 | 920* | 112 | 920* | Requesting publication of SIR prior to Examiner action | | |
| 113 | 1,840* | 113 | 1,840* | Requesting publication of SIR after Examiner action | | |
| 115 | 110 | 215 | 55 | Extension for reply within first month | | |
| 116 | 400 | 216 | 200 | Extension for reply within second month | | |
| 117 | 920 | 217 | 460 | Extension for reply within third month | | |
| 118 | 1,440 | 218 | 720 | Extension for reply within fourth month | | |
| 128 | 1,960 | 228 | 980 | Extension for reply within fifth month | | |
| 119 | 320 | 219 | 160 | Notice of Appeal | | |
| 120 | 320 | 220 | 160 | Filing a brief in support of an appeal | | |
| 121 | 280 | 221 | 140 | Request for oral hearing | | |
| 138 | 1,510 | 138 | 1,510 | Petition to institute a public use Proceeding | | |
| 140 | 110 | 240 | 55 | Petition to revive - unavoidable | | |
| 141 | 1,280 | 241 | 640 | Petition to revive - unintentional | | |
| 142 | 1,280 | 242 | 640 | Utility issue fee (or reissue) | | |
| 143 | 460 | 243 | 230 | Design issue fee | | |
| 144 | 620 | 244 | 310 | Plant issue fee | | |
| 122 | 130 | 122 | 130 | Petitions to the Commissioner | | |
| 123 | 50 | 123 | 50 | Petitions related to provisional Applications | | |
| 126 | 180 | 126 | 180 | Submission of Information Disclosure Statement | | |
| 581 | 40 | 581 | 40 | Recording each patent assignment per property (times number of properties) | | |
| 146 | 740 | 246 | 370 | Filing a submission after final rejection (37 CFR 1.129(a)) | | |
| 149 | 740 | 249 | 370 | For each additional invention to be examined (37 CFR 1.129(b)) | | |
| Other fee (specify) | | | | | | |
| Other fee (specify) | | | | | | |
| SUBTOTAL (3) | | | | | (\$) 0 | |

* Reduced by Basic Filing Fee

| SUBMITTED BY | | | | Complete (if applicable) | |
|-----------------------|--------------------|--|--|--------------------------|---------|
| Typed or Printed Name | Thomas J. Kowalski | | | Reg. Number | 32,147 |
| Signature | | | | Date | 2/22/02 |
| | | | | Deposit Account | 50-0320 |

WARNING: Information on this form may become public. credit card information should not be included on this form.

Provide credit card information and authorization on PTO-2038.

02-25-02

PATENT
674543-2001.3

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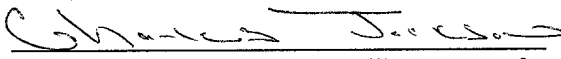
CERTIFICATE OF MAILING - SEPARATE PAPER - PATENT APPLICATION

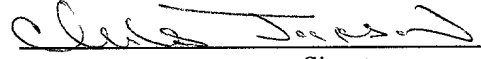
Attorney Docket No. : 674543-2001.3
Title : **REGULATION OF INTRACELLULAR
GLUCOCORTICOID CONCENTRATIONS**
Filed : February 22, 2002
Applicant : WALKER et al.
Mailed : Via Express Mail Label # EV 001580902 US

I hereby certify that the following items are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10 on the date indicated above and is addressed to:

Commissioner for Patents
Washington, D.C. 20231
Box Application

Enclosed: Utility Application Transmittal
Fee Transmittal
Application for Patent
(27 total pages, 25 pages of specification numbered 1-25, 2 pages, p. 26-
27, of claims numbered 1-13)
Drawings (3 pages, Figures 1-6)
Declaration for Patent Application and Power of Attorney
Associate Power of Attorney
Preliminary Amendment
Check Number 20016 in the amount of \$370.00
Return Receipt Postcard


Typed/printed name of person mailing paper or fee


Signature

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